

Journal of Advanced Scientific Research

ISSN 0976-9595

Available online through http://www.sciensage.info

Research Article

IRIDIUM (III) COMPLEXES OF 1-ALKYL -2-{(O-THIOALKYL) PHENYLAZO} IMIDAZOLES: SYNTHESIS, CHARACTERIZATION, DNA BINDING STUDY AND DFT CALCULATION

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ABSTRACT

The Ir(III) complexes of 1-Alkyl-2-{(o-thioalkyl)phenylazo} imidazole (SRaaiNR', 1; R = Me, R' = Et (a); R = Et, R' = R'Me (b); R = R' = Et (c)), $[Ir(SRaaiNR')(PPh_3)Cl_2](ClO_4)$ (2) have been synthesized and characterized by elemental, mass and other spectral (IR, UV-Vis, and ¹H NMR) analysis. Based on several spectral analysis octahedral geometry has been proposed for the Ir(III) complexes. The DNA binding ability of the complexes (binding constant: 2.49×10^4 to 4.51x 10⁴) has been studied by absorption and fluorescence spectroscopic measurements. Density functional theory (DFT) computation technique on the optimized geometry of the complexes has been performed to interpret the electronic structures and their spectral properties.

Keywords: 1-Alkyl-2-{(*o*-thioalkyl) phenylazo}imidazoles, Ir(III) complexes, DNA binding study, DFT calculation

1. INTRODUCTION

The study of interaction between the transition metal complexes and DNA molecules plays extensive role in the development of anticancer drugs [1-4]. In the design of anticancer agents, metal complexes provide wide range due to the large diversity in structure, binding modes, and flexible ligand exchange kinetics [5]. The interaction of metal complexes with DNA forms the metal-DNA adducts which hampers DNA replication and ultimately results in the cell death [6].

The era of inorganic medicines in the field of chemotherapy research had started its journey with the discovery of anticancer activity of cisplatin and now inorganic medicines mainly platinum based drugs plays indispensable role in chemotherapy research [7]. In spite of the huge success, rapid development of drug resistance, adverse side effects, lack of selectivity, and severe toxicity of platinum-based drugs have shifted the direction of investigation towards other metal complexes. Over the last few years, a tremendous effort has been dedicated to develop other metals-based drugs with improved clinical effectiveness, reduced toxicity and wide range of selectivity [8-10]. Specially, the rutheniun complexes have drawn strong attention for their low toxicity, variable oxidation states and

favourable kinetic aspects [11-14]. Recently, iridium complexes have attracted much attention due their tunable chemical and biological properties [15].

In this article, we report the synthesis, characterization and DNA binding property of Ir(III) complexes of 1-Alkyl-2-{(o-thioalkyl) phenylazo}imidazoles. The DNA binding property of the complexes is established by absorption and fluorescence spectroscopic studies.

2. EXPERIMENTAL

2.1. Material and physical measurements

IrCl₃.3H₂O was purchased from Arora Matthey Ltd., India. The solvents and other chemicals were obtained from E. Merck, India. The ligands, 1-Alkyl-2-{(othioalkyl) phenylazo} imidazoles (SRaaiNR', 1) have been prepared by following the literature reported procedure [16]. The solvents were dried before use [17]. The solution spectral studies were carried out with spectroscopic grade solvents.

Microanalyses (C,H, N) were carried out using Perkin-Elmer 2400 CHN elemental analyzer. Spectroscopic measurements were performed using the following instruments: ¹H NMR spectra in CDCl₃ (TMS as an internal standard) Bruker 300 MHz FT-NMR; The IR spectra, Perkin Elmer L120-00A FT IR spectrophotometer (KBr disk); UV- Vis spectra, Lambda 25 Perkin Elmer;. Electrospray ionization (ESI) positive ion, mass spectra were recorded on a micro mass Q-TOF mass spectrometer. Molar conductance in 10⁻³ M solutions in acetonitrile was measured using a Systronics conductivity meter 304 model.

2.2. Synthesis

2.2.1. Synthesis of [Ir(SMeaaiNMe) (PPh₃)Cl₃] (ClO₄) (2a)

IrCl₃.3H₂O (182 mg, 0.517 mmol) was added to a methanol solution of 1-Methyl-2- $\{o$ -(thioethyl) phenylazo $\}$ imidazole (SMeaaiNEt) (128 mg, 0.517 mmol) and triphenylphosphine (136 mg, 0.517 mmol). The mixture was stirred and refluxed for 6 hours and cooled to room temperature. Then saturated aqueous solution of NaClO₄ was added which resulted a brown precipitate. The products were collected by filtration, washed with methanol and ether and dried under vacuum and was subjected to chromatography. A brown band was eluted with acetonitrile-toluene (1:3,v/v) which upon evaporation afforded analytically pure product **2a**. Yield was 284 mg (63%).

The other complexes were prepared by following the same procedure and the yields were 55-65%.

Anal. Calc. for [Ir(SMeaaiNEt) (PPh₃)Cl₂](ClO₄) (**2a**): C, 39.98; H, 3.10; N, 6.43. Found: C, 39.94; H, 3.06; N, 6.38%. UV (λ_{max} , nm (ϵ ,M⁻¹ cm⁻¹), CH₃CN): 592(2636), 489(9235), 425(14672), 280(19357). IR (KBr disk): $\nu_{N=N}$, 1379 cm⁻¹; $\nu_{C=N}$,1569 cm⁻¹; ν_{ClO4} , 1095 cm⁻¹; ν_{PPh3} , 519, 697, 751 cm⁻¹; ν_{Ir-Cl} , 297, 321.). TOF-MS: m/z 771 (M-ClO₄⁻⁾⁺, 735 (M-ClO₄⁻ -Cl), 509 (M-ClO₄⁻ PPh₃). ¹H-NMR (300 MHz, CDCl₃), **δ** (ppm): 1.45 (t, J = 7.5, 3H; N-R':-CH₃), 2.88 (s, 3H; S-CH₃), 4.36 (q, J = 8.1 Hz, 3H; N-R':-CH₂-), 7.14 (s, 1H; 5-H), 7.64(m, 2H; 9, 10-H), 7.79(s, 1H; 4-H), 8.02 (d, J = 7.6 Hz, 1H; 8-H), 8.28 (d, J = 7.5 Hz, 1H; 11-H), 7.26-7.50 (m, 15 H; PPh₃).

Anal. Calc. for [Ir(SEtaaiNMe) (PPh₃)Cl₂](ClO₄) (**2b**): C, 39.98; H, 3.10; N, 6.43. Found: C, 39.93; H, 3.02; N, 6.40%. UV (λ_{max} , nm (ϵ ,M⁻¹ cm⁻¹), CH₃CN): 592(2681), 491(9176), 423(14802), 282(19179). IR (KBr disk): $v_{N=N}$, 1367 cm⁻¹; $v_{C=N}$,1584 cm⁻¹; $v_{ClO4^{-1}}$, 1100 cm⁻¹; v_{PPh3} , 522, 696, 750 cm⁻¹; v_{Ir-Cl} , 305, 320. TOF-MS: m/z 771 (M-ClO₄⁻⁾ +, 735 (M-ClO_{4^{-1}} -Cl), 509 (M-ClO₄⁻⁻ PPh₃). ¹H-NMR (300 MHz, CDCl₃), **δ** (ppm): 1.51 (t, J=8.1, 3H; S-R:-CH₃), 3.37(q, J=7.6, 3H; S-R:-CH₂-), 4.30(s, 3H; N-CH₃), 7.26(s, 1H; 5-H), 7.64 (m, 2H; 9, 10-H), 7.79 (s, 1H; 4-H), 8.08(d, J=7.0 Hz, 1H; 8-H), 8.29 (d, J=7.5 Hz, 1H; 11-H), 7.26-7.50 (m, 15 H; PPh₃).

Anal. Calc. for [Ir(SEtaaiNEt) (PPh₃)Cl₂](ClO₄) (**2c**): C, 39.34; H, 3.05; N, 6.33. Found: C, 39.28; H, 3.01; N, 6.27%. UV (λ_{max} ,nm (ϵ ,M⁻¹ cm⁻¹), CH₃CN): 591(2882), 488(9672), 422(15070), 277(20136). IR (KBr disk): $v_{N=N}$, 1369 cm⁻¹; $v_{C=N}$,1590 cm⁻¹; v_{ClO4} , 1098 cm⁻¹; v_{PPh3} , 523, 692, 754 cm⁻¹; v_{Ir-Cl} , 306, 324. TOF-MS: m/z 785 (M-ClO₄) ⁺, 749 (M-ClO₄ - Cl), 523 (M-ClO₄ - PPh₃). ¹H-NMR ((300 MHz, CDCl₃), δ (ppm): 1.35 (t, J = 7.9, 3H; S-R:-CH₃), 1.57 (t, J = 8.0, 3H; N-R':-CH₃), 3.32(q, J = 7.7, 3H; S-R:-CH₂-), 4.36(q, J = 8.3, 3H; N-R':-CH₂-), 7.19 (s, 1H; 5-H), 7.59 (m, 2H; 9, 10-H), 7.85 (s, 1H; 4-H), 8.10(d, J = 7.6 Hz, 1H; 8-H), 8.35(d, J = 7.5 Hz, 1H; 11-H), 7.26-7.50 (m, 15 H; PPh₃).

2.3. Computational methods

All computations were performed using the Gaussian 09 program package [18]. All computations were performed at the level of the Becke's three-parameter hybrid exchange functional with nonlocal correlation functional of Lee-Yang-Parr (B3LYP) [19]. Elements except iridium were assigned a 6-31G basis set in our calculations. The Los Alamos effective core potential plus double zeta (LanL2DZ) [20] basis set were employed for iridium metal while 6-31G basis set were assigned for other elements. The geometric structure of the complex 2a in the ground state (S_0) was fully optimized. The vibrational frequencies were calculated to ensure that optimized geometries represented local minima. Using the optimized S₀ geometry we employed time dependent density functional theory (TD-DFT) at the B3LYP level to predict the absorption characteristics [21].

2.4. DNA binding study

2.4.1. Preparation of the complex solution for DNA binding and nuclease studies

The stock solutions of complexes (2 mM) were prepared in acetone free methanol and diluted with Tris-HCl buffer to get required concentration before each set of experiments.

2.4.2. Preparation of calf thymus and pUC19 plasmid DNA

The solution of calf thymus (CT) DNA (Bangalore Genei, India) was prepared in 5 mM Tris-HCl / 50 mM NaCl buffer, pH 7.2 using deionised and sonicated

HPLC grade water. The CT-DNA used in the experiments was sufficiently free from protein (UV absorption ratio A_{260nm} / $A_{280nm} \sim 1.9$). The DNA concentration was determined with the help of it extinction coefficient, ε of 6600 M⁻¹ cm⁻¹ at 260 nm. The DNA stock solution was stored at 4 °C and used within 4 days after preparation.

2.4.3. Ethidium bromide (EB) stock solution preparation

Ethidium bromide (EB) dust (Sigma-Aldrich, USA) was dissolved in double distilled water at a concentration of 1 mM. Stored stocks (at 4°C in dark) were diluted freshly before each experiment.

2.4.4. Ethidium bromide (EB) stock solution preparation

Absorption spectroscopic studies were done on a spectrophotometer (Perkin Elmer, lambda-25), The interaction between the metal complexes and CTDNA was observed by adding increasing concentrations of CT DNA (2 μ M to 20 μ M) to a fixed concentration of complex (40 μ M) and increasing concentrations of complex (2 μ M to 20 μ M) to fixed concentration of CT DNA ($100\mu M$). After each addition, the DNA and complex mixtures were incubated at room temperature for 15 min and scanned from 250 nm to 700 nm. The self-absorption of DNA was eliminated in each set of experiments. Each sample was scanned for a cycle number of 2, cycle time of 5 sec at a scan speed of 100 nm/min. Modified Benesi-Hildebrand [22] plot was used for the determination of ground state binding constant between the complexes and CT DNA. The binding constant "K" was determined by using the following relation:

$$A_0/\Delta A = A_0/\Delta A_{max} + (A_0/\Delta A_{max}) \times 1/K \times 1/L_t$$

where $\Delta A = A_0 - A$, $\Delta A_{max} = maximum$ change in reduced absorbance,

 A_0 = maximum absorbance of receptor molecules (without any ligand),

A = reduced absorbances of the receptor molecules (in presence of ligand),

 $L_t = ligand$ concentration.

2.4.5. Fluorescence spectroscopic studies of the complexes with EB bound DNA

Fluorescence spectroscopic studies of EB bound CT DNA with varying concentrations of the complexes (0-

100 μ M) were done by using by LS 55 Perkin Elmer spectrofluorimeter at room temperature (298 K). The EB bound CT DNA was prepared fresh before each experiment by treating with 10⁻⁵ M DNA solution with 10⁻⁵ M EB solution and it was incubated for 30 min. The experiments were carried out with gradual addition of the complexes (10 μ M) into EB bound DNA mixture, incubated for 15 min and the fluorescence spectra were taken. The excitation wavelength was 500 nm and the emission spectra were scanned from 510 nm to 750 nm.

The study is based on the competitive binding of the complex to DNA by replacing EB from EB bound DNA and this is observed by the quenching of the fluorescence intensity. The fluorescence quenching of EB bound DNA is expressed by the Stern-Volmer equation [23, 24]:

 $I_0/I = 1 + K_{sv}[Q] = 1 + kq\tau_0[Q]$

where I_0 and I are the fluorescence intensities of BSA in the absence and in the presence of the quencher (i.e. the metal complex), respectively, K_{sv} is the Stern-Volmer quenching constant, [Q] is the concentration of the quencher, kq is the quenching rate constant of the biomolecule and τ_0 is the average lifetime of the molecule in the absence of the quencher.

A linear I_0/I vs. [Q] plot indicates that a single type of quenching mechanism is involved, either static or dynamic, while a deviation from linearity suggests a mixed quenching mechanism [25].

3. RESULTS AND DISCUSSION

3.1. Synthesis and formulation

The ligands, 1-Alkyl-2-{(o-thioalkyl)phenylazo} imidazoles (SRaaiNR', 2; (a); R = Me, R' = Et (a); R = Et, R'= Me (**b**); R = R' = Et (**c**)) usually act as tridentate chelating ligands. The donor centres are imidazole-N (N), azo-N (N[/]) and thioaklyl(SR)-S (Scheme 1). The reaction of $IrCl_3$ with the ligand SRaaiNR' (1) in the presence of triphenyl phisphine (PPh₃) in ethanol under stirring and refluxing condition afforded a red solution which upon addition of a saturated aqueous solution of NaClO₄ (Scheme 1) separated a red precipitate of composition, $[Ir(SRaaiNR')(PPh_3)Cl_2](ClO_4)$ (2). The compositions have been supported by elemental analysis and ESI (Positive ion) mass spectra. The complexes are diamagnetic in nature which confirms +3 oxidation state (d⁶) for iridium. The conductance measurements in acetonitrile (Λ_M , 120–130 Ω^{-1} cm² mol⁻¹) propose 1:1 electrolyte nature of the complexes. [Ir(SRaaiNR[/]) (PPh₃)Cl₂]⁺ may exist in two octahedral isomeric forms

(Scheme:1); *cis*-MCl₂ type configuration, *i.e.* two chlorines are cis *w.r.t.* each other and *trans*-MCl₂ type configuration i.e. two chlorines are trans *w.r.t.* each other.

Because of unavailability of good quality crystals of **2** the spectral (UV-VIS, IR, ¹H-NMR, and Mass) techniques have been used to characterize the structure of the compounds. The spectral characterization shows that the configuration of the isolated complex **2** is *cis*-MCl₂ type.



Scheme -1: The ligands and the complexes

3.2. Spectral characterization

The FT-IR spectra of the complexes recorded two v_{Rh-Cl} stretches within the range 300-325cm⁻¹ (**Fig.1**). This suggests the *cis*-MCl₂ type configuration for the complexes. The stretching frequencies for azo ($v_{N=N}$) appear at 1367-1379 cm⁻¹ and imine ($v_{C=N}$) appear at 1579-1590 cm⁻¹ and these stretching frequencies are significantly shifted to lower frequency compared to free ligand value ($v_{N=N}$, 1400-1410 cm⁻¹ and $v_{C=N}$, 1600-1610 cm⁻¹) [16]. The appearance of these frequencies at lower values confirms the coordination of ligand to the

metal centre through azo-N and imine-N. The presence of ClO_4^- as a counter ion is established by a strong and broad peak at 1090–1100 cm⁻¹, and a weak stretch around 620 cm⁻¹. The spectra also show three characteristics strong bands around 750, 695 and 525 cm⁻¹ for coordinated PPh₃ ligand.

The mass spectra (ESI, Positive ion) of the complexes recorded expected fragmentation pattern with (M- ClO_4)⁺ ion peak as base peak. The spectra of the complexes also recorded (M- ClO_4 -Cl)⁺ and (M- ClO_4 - PPh_3)⁺ ion peaks at expected values (**Fig.2**).



Fig.1: IR spectrum of 2b





Fig.2: ESI(Positive ion) mass spectra of 2a and 2b.

The ¹H-NMR spectra of the complexes in CDCl₃ shows the downfield shifting of proton signals compared to free ligand data [16] which establishes the electronwithdrawing effect of the coordinated iridium (III). The imidazole proton, 4-H appears as a broad singlet at \sim 7.6-7.8 ppm with downfield shifting by 0.6-0.7 ppm and while 5-H appears at ~7.15-7.25 ppm with downfield shifting by ~0.2-0.3 ppm. These observations support the coordination of imine-N to the iridium centre. The '-CH₃' protons of 'SMe' group and '-CH2-' protons of 'SEt' group suffer downfield shifting by 0.25-0.40 ppm compared to the free ligand position and appear as a singlet at around 2.90 ppm and quartet at 3.35 ppm respectively which supports the coordination of S of thioalkyl group (SR) to the metal centre. The resonances of the phenyl protons of triphenyl phosphine were observed in the region 7.20-7.50 ppm.

The absorption spectra of the complexes were investigated in acetonitrile solution (**Fig.3**). The red color solutions of the complexes show several intense absorptions in the visible and ultraviolet region. All the complexes show one high intense transitions ($\epsilon \sim 10^4$ M⁻¹cm⁻¹) at ~425 nm along with a shoulder at ~490 nm($\epsilon \sim 10^3$ M⁻¹cm⁻¹) and one weak transitions($\epsilon \sim 10^2$ M⁻¹cm⁻¹) at ~600 nm in the visible region and one high intense transition ($\epsilon \sim 10^4$ M⁻¹cm⁻¹) at ~285 nm in the UV region. The transitions in the visible region (> 400 nm) may be assigned as metal-to-ligand charge transfer transitions as these are not present in free ligands while the high energy transitions in the ultraviolet region (<

400 nm) may be considered as ligand centred transitions.





3.3. DFT calculation and Electronic structure

Single crystal structure of the complexes could not be determined hence a theoretical structure of 2a has been optimized in gas phase to get information about the structural parameters. The optimized structure is shown in Fig.4 and relevant bond parameters are given in **Table 1**. These theoretical bond parameters show good agreement with the reported values. The figure showing that Iridium(III) is surrounded by two Cl (cis to each other), one P and N, N⁷, S donor centres of SMeaaiNEt forming a distorted-octahedral coordination environment. The ligand forms two adjacent five-membered chelate rings with chelate angles N(6)-Ir(68)-N(8), 77.55° and N(8)-Ir(68)-S(4), 86.03°. The cis chlorine angle, Cl(2)-Ir(68)-Cl(1) is 87.96°. The Ir-N(azo) length [Ir(65)-N(8), 2.001 Å] is shorter than the Ir-N(imidazole) lengths [Ir(65)-N(6), 2.065 Å] which may be due to better π -accepting ability of N(azo) centre.

The energies of highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) are -7.71 eV and -6.67 eV respectively. HOMO is constituted by 39% of PPh₃, 34% of ligand and 27% of Cl. The LUMO is mostly delocalized on ligand π^* orbitals (84%). The energy and the composition of some selected molecular orbitals are given in **Table 2** and surface plots of some frontier orbitals are shown in **Fig.5**.

To have a clear knowledge about the nature of electronic transitions the TD-DFT calculations were performed. The experimental spectra of **2a** correlate

well with the theoretical spectra (**Fig.3**). The transition at around 585 nm is mainly due to the transitions from HOMO-3, HOMO-4 & HOMO \rightarrow LUMO and can be regarded as admixture of chloride-to-ligand, (XLCT: Cl \rightarrow Azo, Im) and metal-to-ligand (MLCT: Ir \rightarrow Azo) charge transfer transitions (**Table 3**). The transitions in the region 400-500 nm are assigned to the mixture of multiple transitions originated due to intraligand charge transfer (ILCT) and PPh₃ to ligand charge transfer PPh₃ (YLCT). The other transitions at shorter wavelengths are mixture of mainly PPh₃-to-Metal (YMCT), intra-PPh₃ (IYCT), PPh₃-to-chloride (YXCT) charge transfer transitions.

Table 1: Selected theoretical bond lengths (Å) and angles (°) of 2a.

0			
Bond length(Å)	Theoretic al value	Bond angle (°)	Theoretic al value
Ir(68)-N(6)	2.06453	N(6)-Ir(68)-N(8)	77.55
Ir(68)-N(8)	2.00122	N(8)-Ir(68)-S(4)	86.03564
Ir(68)-S(4)	2.37308	N(6)-Ir(68)-S(4)	163.48356
Ir(68)-Cl(1)	2.41158	N(8)-Ir(68)-Cl(2)	83.08657
Ir(68)-Cl (2)	2.46168	N(6)- $Ir(68)$ - $Cl(2)$	84.69541
Ir(68)-P (3)	2.41529	S(4)-Ir(68)-Cl(1)	94.41069
N(7)-N(8)	1.28492	S(4)-Ir(68)-Cl(2)	91.63147
		P(3)-Ir(68)-Cl(2)	176.16873
		P(3)-Ir(68)-Cl(1)	91.47198
		P(3)-Ir(68)-N(6)	91.70635
		P(3)-Ir(68)-N(8)	97.44369
		P(3)-Ir(68)-S(8)	92.19048
		Cl(2)-Ir(68)-Cl(1)	87.96725



Fig.4: Optimised structure of $[Ir(SMeaaiNEt) (PPh_3) Cl_2] (ClO_4)(2a)$

(- ·)												
Os	H-5	H-4	H-3	H-2	H-1	НОМО	LUMO	L+1	L+2	L+3	L+4	L+5
eV)	-9.24	-9.12	-8.46	-8.27	-7.9	-7.71	-6.67	-6.31	-6.16	-5.93	-5.44	-5.16
r	0	0	3	0	9	0	0	4	5	0	3	5
Azo	8	4	2	8	9	9	11	2	10	1	6	5
Im	15	9	14	13	13	15	9	12	24	3	18	19
Ph	17	06	02	11	13	5	30	0	10	81	14	26
S-Me	21	5	21	17	9	5	34	28	7	10	24	06
(1)	2	2	29	7	27	27	6	18	14	3	5	17
h ₃	39	74	29	44	20	39	10	36	30	2	30	22
	Azo Im Ph S-Me (1) h ₃		$\begin{array}{c ccccc} \hline \textbf{Ds} & \textbf{H-5} & \textbf{H-4} \\ \hline \textbf{V} & -9.24 & -9.12 \\ \hline \textbf{C} & 0 & 0 \\ \hline \textbf{Azo} & \textbf{8} & \textbf{4} \\ \hline \textbf{Im} & \textbf{15} & \textbf{9} \\ \hline \textbf{Ph} & \textbf{17} & \textbf{06} \\ \hline \textbf{S-Me} & \textbf{21} & \textbf{5} \\ \hline \textbf{(1)} & \textbf{2} & \textbf{2} \\ \hline \textbf{h}_3 & \textbf{39} & \textbf{74} \\ \hline \end{array}$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	OsH-5H-4H-3H-2H-1 O_{3} -9.24-9.12-8.46-8.27-7.9 O 0309Azo84289Im159141313Ph1706021113S-Me21521179(1)2229727 h_3 3974294420	OsH-5H-4H-3H-2H-1HOMO V_{0} -9.24-9.12-8.46-8.27-7.9-7.71 c 003090Azo842899Im15914131315Ph17060211135S-Me215211795(1)222972727 h_3 397429442039	OsH-5H-4H-3H-2H-1HOMOLUMO $V_{)}$ -9.24-9.12-8.46-8.27-7.9-7.71-6.67 C 0030900Azo84289911Im159141313159Ph1706021113530S-Me21521179534(1)2229727276h_339742944203910	O_{x} H-5H-4H-3H-2H-1HOMOLUMOL+1 V_{y} -9.24-9.12-8.46-8.27-7.9-7.71-6.67-6.31 C 00309004Azo842899112Im15914131315912Ph17060211135300S-Me2152117953428(1)222972727618 h_3 3974294420391036	OsH-5H-4H-3H-2H-1HOMOLUMOL+1L+2V)-9.24-9.12-8.46-8.27-7.9-7.71-6.67-6.31-6.16C003090045Azo84289911210Im1591413131591224Ph1706021113530010S-Me21521179534287(1)22297272761814h ₃ 397429442039103630	O_{1} $H-5$ $H-4$ $H-3$ $H-2$ $H-1$ $HOMO$ $LUMO$ $L+1$ $L+2$ $L+3$ V_{1} -9.24 -9.12 -8.46 -8.27 -7.9 -7.71 -6.67 -6.31 -6.16 -5.93 C 0 0 3 0 9 0 0 4 5 0 Azo 8 4 2 8 9 9 11 2 10 1 Im 15 9 14 13 13 15 9 12 24 3 Ph 17 06 02 11 13 5 30 0 10 81 S-Me 21 5 21 17 9 5 34 28 7 10 (1) 2 2 29 7 27 27 6 18 14 3 h_3 39 74 29 44 20 39 10 36 30 2	OsH-5H-4H-3H-2H-1HOMOLUMOL+1L+2L+3L+4V)-9.24-9.12-8.46-8.27-7.9-7.71-6.67-6.31-6.16-5.93-5.44 c 00309004503Azo8428991121016Im1591413131591224318Ph17060211135300108114S-Me215211795342871024(1)2229727276181435h ₃ 397429442039103630230

Table 2: Selected orbital energies and percentage of orbital composition for $[Ir(SMeaaiNEt)(PPh_3) Cl_2]ClO_4$ (2a)

Note: Azo = -N=N- part, Im = Imidazole moiety, Ph = Phenyl moiety.

Table 3: Selected list of excited energies of $[Ir(SMeaaiNEt) (PPh_3)Cl_2]ClO_4$ (2a) obtained from TDDFT calculation in gas phase.

Excited State	λ , nm (Oscillator strength)	Transitions	Assignment	
4		(55%) HOMO→LUMO	YLCT [PPh₃ →Azo, Im]	
	585 (0.014)	(41%)HOMO-3→LUMO	ILCT [Im,Ph \rightarrow Azo,]	
		(11%)HOMO-4→LUMO	XLCT [Cl →Azo, Im]	
			YLCT [PPh ₃ →Azo, Im]	
9	439 (0.096)	(49%) HOMO-8 \rightarrow LUMO	ILCT [Im,Ph→Azo]	
		(38%) HOMO- $7 \rightarrow$ LUMO	XLCT [Cl →Azo, Im]	
	379 (0.1358)	(64%) HOMO-11→LUMO	ILCT [Im,Ph→Azo]	
12			YLCT [PPh ₃ \rightarrow Azo, Im]	
			XLCT [Cl →Azo, Im]	
		(57%) HOMO-13→LUMO	ILCT [Im,Ph→Azo]	
14	353 (0.081)	(19%)HOMO-12→LUMO	MLCT [Ir→Azo]	
		(17%)HOMO→LUMO+1	YLCT [PPh ₃ →Azo, Im]	
		(54%) HOMO-12→ LUMO	YLCT [PPh₃ →Azo, Im]	
1 5	350 (0.058)	(18%)HOMO-14→LUMO	ILCT [Im,Ph→Azo]	
15	550 (0.058)	(11%)HOMO-3→LUMO	MLCT [Ir→Azo]	
			XLCT [Cl →Azo, Im]	
	335 (0.019)	(39%)HOMO-14→LUMO	ILCT [Im,Ph→Azo]	
18		(35%) HOMO-3→LUMO+1	LYCT $[Cl \rightarrow PPh_3]$	
		(20%)HOMO-2→LUMO+1	YMCT [PPh₃→Ir]	
	326 (0.0233)	(44%)HOMO-2→LUMO+2	ILCT [Im,Ph→Azo]	
20		(11%)HOMO-1→LUMO+1	LYCT $[Cl \rightarrow PPh_3]$	
			YMCT [PPh₃→Ir]	
	288 (0.088)		YLCT [PPh ₃ \rightarrow Azo, Im]	
27		(67%)HOMO-4→LUMO+1	$IYCT [PPh_3 \rightarrow PPh_3]$	
			YXCT [PPh ₃ →Cl]	
29	277 (0.023)	(54%) HOMO-6→LUMO+1	YLCT [PPh ₃ \rightarrow Azo, Im]	
			$IYCT [PPh_3 \rightarrow PPh_3]$	
			YXCT [PPh ₃ →Cl]	
32		(41%) HOMO-17→LUMO+1	ILCT [Im,Ph→Azo]	
	270 (0.0311)	(38%)HOMO-8→LUMO+1	LYCT $[Cl \rightarrow PPh_3]$	
		(23%) HOMO-19→LUMO+1	LXCT [Im,Ph \rightarrow Cl]	

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38	262 (0.0172)	(52%)HOMO-4→LUMO+2	YLCT [PPh ₃ \rightarrow Azo, Im] IYCT [PPh ₃ \rightarrow PPh ₃]
		(12%) HOMO-3 \rightarrow LUMO+3	$YXCT [PPh_3 \rightarrow Cl]$
			YMCT [PPh ₃ →Ir]
		(55%) HOMO-10→LUMO+1	YLCT [PPh ₃ \rightarrow Azo, Im]
45	252 (0.0287)	(26%) HOMO-8 \rightarrow LUMO+2	$IYCT [PPh_3 \rightarrow PPh_3]$
		(16%)HOMO-6→LUMO+2	YXCT [PPh ₃ →Cl]

Note: $X = Cl, Y = PPh_3$

ILCT = Intraligand charge transfer transition, MLCT = Metal to ligand charge transfer transition etc.

НОМО	HOMO - 1	НОМО - 2
E = -7.71 eV	E = -7.9 eV	E = -8.27 eV
Lig, 34%; PPh _{3,} 39%; Cl, 27%	Lig, 42%; PPh ₃ , 27%; Cl, 20%	Lig, 49%; PPh _{3,} 44%
LUMO	LUMO + 1	LUMO + 2
E = - 6.67 eV Ligand: 84% (Azo, 52%)	E = -6.31 eV Lig, 42%; PPh ₃ , 36%; Cl, 18%	E = - 6.16 eV Lig, 51%; PPh ₃ , 30%

Fig. 5: Surface plots of some frontier orbitals of [Ir(TaiEt)₂Cl₂]ClO₄ (2b)

3.4. Interaction of complexes with DNA

3.4.1. Absorption spectroscopic studies of the complexes in presence of CT DNA

The interaction of the complexes with CT DNA was studied by observing the changes in UV-VIS absorption spectra because any kind of change in absorption spectra due to their mixing is the direct evidence of interaction between them [26]. Upon addition of increasing concentrations of complexes to fixed concentration of CT DNA (100μ M), the absorption of DNA at 260nm was decreased gradually and the reverse course of action, *i.e.* addition of increasing concentrations of CT DNA to fixed concentration of complexes recorded a

steady decrease in absorption with a slight red shift (**Fig. 6-7**). Such changes in absorbance clearly indicate existence of some specific interaction between the complex and DNA molecules. To compare the binding ability of the complexes, we have calculated the ground state binding constant(K_b) between the complexes and DNA at the absorption maximum of DNA by using modified Benesi-Hildebrand (BH) plot [22] (**Fig.6-7**) and the binding constants are 4.11 x 10⁴ M⁻¹ (**2b**) and 2.49 x 10⁴ (**2c**). The observed binding constants (K_b) revealed that the complex **2a** binds strongly with CT DNA than the other complexes.



Fig. 6: (a) Absorption spectroscopic study of 40 μ M complex 2a with increasing concentrations of CT DNA (0, 1, 2, 4, 6, 8, 10, 12, 14, 16, 18 and 20 μ M) respectively. (b) Absorption spectroscopic study of CT DNA (100 μ M) with increasing concentrations of complex 2a (0, 2, 4, 6, 8, 10, 12, 14, 16, 18 and 20 μ M) respectively. (c) Modified Benesi-Hildebrand plot for the determination of ground state binding constant between CT DNA and the complex 2a.



Fig. 7: (a) Absorption spectroscopic study of 40 μ M complex 2c with increasing concentrations of CT DNA (0, 1, 2, 4, 6, 8, 10, 12, 14, 16, 18 and 20 μ M) respectively. (b) Absorption spectroscopic study of CT DNA (100 μ M) with increasing concentrations of complex 2c (0, 2, 4, 6, 8, 10, 12, 14, 16, 18 and 20 μ M) respectively. (c) Modified Benesi-Hildebrand plot for the determination of ground state binding constant between CT DNA and the complex 2c.

3.4.2. Fluorescence spectroscopic studies of the complexes with ethidium bromide (EB) bound DNA

The interaction between DNA and complex molecules was further confirmed by studying the ability of the complexes to displace ethidium bromide (EB) from EB bound DNA. The DNA or EB molecules do not have fluorescence property alone but being a fluorescence probe in presence of DNA, EB releases intense fluorescent light due to its strong intercalation between adjacent base pairs. The fluorescence spectroscopic studies showed that the gradual increase of concentration of complexes to the EB bound DNA solution caused quenching in fluorescence intensity which follow the linear Stern-Volmer equation (**Fig.9**). The Stern-Volmer quenching constants (K_{sv}) obtained from the slope of the plot [Q] versus I_0/I are $5.41 \times 10^3 M^{-1}$ (**2a**), $6.632 \times 10^3 M^{-1}$ (**2b**), and $4.936 \times 10^3 M^{-1}$ ¹(**2c**). The apparent binding constant (K_{app}) was also calculated from the equation K_{EB} [EB] = K_{app} [complex], where $K_{EB} = 1.0 \times 10^7 M^{-1}$, [EB] = 50 µM, and [complex] is the concentration that causes a 50% quenching of the initial EB fluorescence [27]. The K_{app} values of the complexes are 2.79x10⁵ (2a), 3.36x10⁵ (2b) and 2.44x10⁵ (2c). The magnitudes of K_{sv} and K_{app} values of the complexes suggest that the interaction of complexes with DNA is strong [27].



Fig. 8: Fluorescence spectroscopic study of EB bound DNA with increasing concentrations (0, 10, 20, 30 40, 50, 60, 70, 80, 90 and 100 μM) of 2a, 2b and 2c respectively. Inset picture shows Stern–Volmer plot for complexes.

4. CONCLUSION

The Ir(III) complexes of 1-Alkyl-2-{(*o*-thioalkyl) phenylazo}imidazole have been synthesized characterized by elemental and spectroscopic techniques. The spectral characterization suggests octahedral geometry with *cis*-MCl₂ type configuration for the Ir(III) complexes. Density functional theory (DFT) study interprets the electronic structures and their spectral properties. The DNA binding study by absorption and fluorescence spectroscopic methods show the DNA binding ability of the complexes. The complex 2b binds most strongly while the least binding ability was observed in case of complex 2c.

5. ACKNOWLEDGEMENTS

Author acknowledges Professor Chittaranjan Sinha, Professor, Department of Chemistry, Jadavpur University, for providing the research facilities and his valuable suggestions.

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